

# Chapter 20: An Introduction to Fairness, Absence of Bias, and Equity in Learning Analytics

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## ABSTRACT

In this chapter, we examine the ways educational justice has been and may be taken up in learning analytics research. To do so, we first outline how we see equity as playing a necessary role in the future development of the learning analytics community. Next, we review how equity has been explored in this area heretofore, focusing on notions of algorithmic fairness and absence of bias. Then, we turn to newer political approaches to the study of learning that are emerging in the learning sciences. We summarize trends in this research's conceptualizations of equity and the political dimensions of learning. Finally, we connect these related ways of thinking about social justice with respect to learning analytics, and examine the tensions and possibilities at their intersection. We close with some recommendations for the learning analytics field to ensure that it contributes to positive educational change moving into the future.

**Keywords:** Equity, educational justice, fairness, bias

Broadly speaking, an equity orientation to education recognizes that people in general and children in particular have a fundamental right to education [43, 42]. It acknowledges that there are massive disparities in people's experiences of educational environments (including, but not limited to, in educational outcomes). These disparities are often related to learners' race, gender, sexual orientation, ability status, and/or economic status (in the United States, see for example [13, 34]). Ameliorating these inequities—and offering alternatives that empower learners and challenge oppressive social structures—is a primary goal of equity-forward educational research.

When it comes to learning analytics, we focus our attention on equity with respect to researching, designing, and enacting learning environments. Elsewhere in this volume, authors discuss learning analytics as they relate to ethics (Prinsloo et al., this volume), scale (Reich et al., this volume), and policy (Scheffel et al., this volume). Each of these is an important part of designing for equity. Therefore, we embrace a relatively narrow scope in discussing equity, which for the purposes of this chapter focuses on when and how learning analytics can be culturally, socially, and politically responsive to a diverse array of students. Importantly, we address this chapter to readers with a desire to improve education, recognizing that equity is a central concern in such a goal.

Undoubtedly, algorithmic approaches, complex computations, and machine learning are not a priori helpful, just,

ethical or likely to increase quality of life for many. They are not even neutral in this regard [45]. Rather, countless examples detail how an uncritical perspective on these analytics and their uses has had just the opposite effect, leading to what Eubanks [21] refers to as *automating inequality* and what Noble [44] has called *technological redlining*. Indeed, without a critical perspective, learning analytics are not only unlikely to deliver on promises of bringing about positive educational change; worse, they are likely to reinscribe and make more efficient existing systemic discriminatory practices.

We do not think it is a foregone conclusion that learning analytics will play such a role moving into the future. On the contrary, we see great potential in the advanced approaches being taken by this community for improving students' educational experiences. However, we understand that potential to be most probably realized if the learning analytics community is proactive in taking on critical, political, and nuanced approaches to equity.

In this chapter, we begin by reviewing how the learning analytics community, to date, has approached issues of equity. In general, this has been through the notions of algorithmic fairness and absence of bias. Next, we turn to how scholars in the learning sciences have recently begun to theorize the political dimensions of learning to advance a more justice-centered perspective on learning. We recognize that the learning sciences is only one of a wide variety of fields that contribute to learning analytics

insights. Furthermore, relative to fields like ethnic studies and qualitative methodology, the learning sciences is at the outset of its thinking about equity, and its conceptions of equity are informed by these fields. Nonetheless, it is in this space that some of the strongest thinking connecting justice projects to learning processes is taking place. Furthermore, many have argued that the learning analytics and learning sciences communities are well positioned to learn from and contribute to one another [56, 62]. We conclude by exploring tensions and possibilities at the intersection of these communities' ways of taking up equity, drawing from critical technology studies to close with some recommendations.

## FAIRNESS AND ABSENCE OF BIAS: CURRENT VIEWS FROM LEARNING ANALYTICS

Issues of equity in learning analytics are an extension of observed problems in algorithm-informed decision making. As Safiya Noble indicates in *Algorithms of Oppression* [44], the development of an algorithmic or analytic process can easily incorporate the biases of those who design it, and employing such biased algorithms enforces unjust perceptions, policies, and practices of oppressing marginalized communities. For example, word association algorithms, such as GloVe (Global Vectors for Word Representations) can embed into their associations problematic racial and gendered stereotypes, in turn propagating problematic decision making in the tool's application for hiring or admission processes [9]. Such issues entail a precarious dilemma within learning analytics since decisions made from learning analytic processes can directly impact learner experiences and participation in terms of what is represented and enabled through these systems. Given the principle importance of education as a means to participate in larger social systems, it is not surprising that the scholarship within learning analytics has begun to discuss what constitutes equitable practices of algorithm informed decision making for teaching and learning.

Indeed, such concerns have been a pertinent debate in learning analytics at the end of the decade. Niel Selwyn's provocative considerations in his LAK'18 keynote challenged scholars to consider the ways in which existing learning analytics practices can hinder access and decision making (see [60]). Direct replies to Selwyn's concerns illustrate the constraints of analytics for making equitable and fair decisions for processes of teaching and learning (see [7, 20, 22, 51, 57]). In order to address these concerns, however, a larger perspective on the state of the field in terms of equitable or fair practices is necessary.

Similar to broader concerns about the application of predictive algorithms (see [54]), the dangers of classification or predictive algorithms to determine who gets support, resources, and opportunities to participate in educational systems have long been a concern [52, 55]. Papers from the inaugural FairLAK workshop at LAK'19 exhibited responses to these concerns primarily through the lens of algorithmic fairness. We define algorithmic fairness as a

property of a computational process wherein equivalent outcomes exist between a baseline and target group (e.g., 18-24 years old vs. 25-34 years old), though we recognize that the criteria and metric by which this is determined is an open discussion and multiple definitions have been proposed (see [24]). For example, Gardner et al. [25] used slicing analysis to compare disproportionate results in models. They showed that these comparisons can provide insight into model performance across populations and therefore potentially lead to more accurate predictive tools. Similarly, Doroudi and Brunskill [16] examined the fairness of knowledge tracing algorithms in terms of the susceptibility of these processes to inappropriately aggregate input training data or make incorrect assumptions about students' learning. They found that simulations of learners with different characteristics (e.g., "slow" vs. "fast" learners) revealed disproportionate outcomes for these learners in Bayesian knowledge tracing algorithms. These two approaches provide examples in using fairness as an evaluative component in the development of learning analytic models.

Fairness (and, by extension, absence of bias) entail examining issues of inappropriate discriminations made by an algorithm or its use. Both of the previously discussed instances sought quantitative measures of fairness in terms of the outcome of a model as a test or classification of a learner or group of learners. Fairness and absence of bias in learning analytic algorithms are therefore fundamentally intertwined in whether an algorithmic process produces proportionally equal outcomes across demographic dimensions.<sup>1</sup> These instances present an additional challenge, however, in whether "bias" is best understood as a property of the algorithmic process or a property of the decisions made from the *use of* these tools. This wider set of issues is one of the interaction of social and technical systems as producing biased or unfair practices. In our view, bias in learning analytics results from the intersection of what is represented within data and how these representations are employed in practice. Meaney and Fikes [37], for example, illustrate this nexus in their articulation of building systems based off of a group of early completers of tasks to detect issues across a course population, and the consequent challenges other stakeholders faced in embedding outputs from this system in their practice. Specifically, the use of early completers of a task to construct a model ignores potential relevant differences in their participation compared to other learners, especially experiential and culturally-relevant differences that may not be represented in the task or the data produced from it. This allows for further embeddings of practices that may not support all learners, since the application of results is based on students who least need assistance and thus ignores those who may benefit most from more attention. The use of an analytic, then, is fundamentally situated and bound in the social functions it is intended to serve. Such uses are present in the use of machine learning tools in any social, and therefore value-laden, context (see [59]),

<sup>1</sup> Alongside "fairness," we tend to use the term "absence of bias" (rather than simply "bias") to indicate that fairness and bias's absence are both desired properties.

of which education certainly qualifies.

Paths to mitigate these sources of inequitable decision making are an emerging area of research in learning analytics. Jones and McKay [30], for example, emphasized the need to involve practitioners in learning analytics and educational data science communities more directly in the design of analytic systems through reflection on ethical issues within the design of the tool before they manifest. This approach reflects broader efforts detailed at the intersection of learning analytics and human computer interaction design processes (i.e., human-centered learning analytics; see [7]) and focusing on the different valuations (social, cultural, and political) embedded within a community and its tools (see [10] for a review and application of value-centered design in learning analytics).

It has further proven useful to consider learning analytics from a more critical, power-centric perspective. Drawing from the sociologically-informed discussions of critical data studies (see [3]) as well as emerging critical studies in the information sciences (such as the newly-formed *International Journal of Information, Diversity, & Inclusion*), this family of approaches attempts to consider learning analytics and the decisions made from them in terms of power and politics. Perrotta and Williamson [48], for example, articulated the role of valuations and decision making in the construction and execution of a clustering algorithm, thereby revealing hidden social and political assumptions in its implementation. Namely, the output from clustering algorithms applied to educational data describes a complex network of situated social, technical, and political choices, and this contextual attunement may be lost when algorithm results are implied to describe instrumental, transferable relations that can be unproblematically transferred across learning contexts. Prinsloo [50] expands these discussions in considering data and the analytics thereof as constructed actors within the larger social, political, cultural, and technical systems and therefore entailing a set of social values and designs. The broader aims of this more critical approach, then, are to articulate the functions of use of analytics for teaching and learning in terms of how such metrics impact and are impacted by practices in a larger array of social, cultural, and political values. Naturally, this strand has much to offer in terms of what constitutes equitable processes and practices with learning analytics in larger social and political contexts, but has yet to fully be taken up in the development of analytics to assess the fairness of algorithms (as discussed in [25]).

Fairness, absence of bias, and ultimately equitable analytic-based decision making in learning and education represent an emergent, multifaceted challenge that substantively shifts in meaning and value depending on the affordances and constraints of the social and technical systems in which these tools are developed and deployed. Fundamentally, the determination of whether a learning analytic process is fair or free from bias must connect to the circumstances of the data quality available within an educational context and the literacy of those in a position to make decisions from such tools. Learning analytics

as a path to promoting more agentic learning and thus disrupting existing barriers in participation in education must contend with these issues or risk producing no disruption at best or inimical changes at worst [63]. As such, the development of fair and equitable learning analytic practices represent fundamental questions for: (1) the use of algorithms that have been shown to not inappropriately discriminate across populations; (2) the integration and use of data systems that do not exclude or misrepresent groups in education, and; (3) the facilitation of literacy and development of learning analytic tools in and across contexts as a design process in and of itself. In this regard, the extension of learning analytics into the related design intensive research of the learning sciences towards equitable learning environments is needed.

## **POLITICAL APPROACHES AND EQUITY: NEW PERSPECTIVES FROM THE LEARNING SCIENCES**

In recent years, the learning sciences has also increased its attention to equity (e.g., [18, 49, 33]). While we recognize that the learning sciences is but one of many fields that inform learning analytics, we see immense opportunity for connection between these fields [56, 62, 70]. Given the particularly rich conversations in the learning sciences around issues of culture and equity as they relate to learning processes, in this section we turn to how notions of equity have been taken up in the learning sciences community. Note that while we ascribe these views to the learning sciences, the scholarship discussed next is best understood as working across a number of perspectives, including critical social theory, curriculum studies, and cultural psychology.

To begin, it is necessary to acknowledge that disparities exist in people's experiences of educational environments, participation practices, and learning outcomes (conceptualized broadly). While oftentimes these disparities exist along racial, gendered, classed, or other visible lines, acknowledging disparities in education does not imply that minoritized students' backgrounds are *deficits* that need to be overcome for learning to take place. However, such a deficit perspective has been a dominant perspective in educational research historically and persists still today [46]. Sociocultural learning theorists position culture as central in the study of learning [12, 27]. From an asset-based perspective of learners, students' cultural backgrounds are often rich, and in an equitable learning space, people's cultural backgrounds offer funds of knowledge that can productively contribute to learning [26, 38]. Culturally-responsive pedagogy [32] and culturally-sustaining pedagogy [46] emerged as researchers and educators saw a need to position minoritized students' backgrounds in this resource-based way. This need was driven by a sense that such pedagogies would improve educational outcomes, but also that they offered students—particularly minoritized students—a more just and dignifying educational experience. Importantly, these critical cultural perspectives recognize that identity groups are not mono-

lithic. In fact, they understand race (and many other social categorizations) to be a social construction rather than a biological reality [39]. Rather than treating culture as a static demographic variable, therefore, it is more appropriate to focus on students' prior cultural *repertoires of practice* to understand and design at the intersection of culture and learning [27].

From this perspective, there has been deep attention to unpacking culture as it relates to identity (e.g., [40, 28]). This necessitates investigating how culture relates to race, gender, sexuality, and other identity categories, and to power, privilege, and oppression as it surrounds these categories [41, 36, 18]. While from a sociocultural perspective learning is often about taking on new identities, identity is a joint accomplishment between learners and learning environments [28]. Students contend with racial and cultural storylines about who they can and cannot be [61]. In other words, identity and learning constrain together. This has led some scholars to center equitable disciplinary identification, focusing not only on how individuals navigate (usually STEM) disciplines, but also how such disciplines and communities function to become hostile to particular learners (e.g., [4, 35]).

In conversation with these trends, some learning scientists have argued that all learning has a political dimension which requires consideration by learning researchers [5, 6, 33]. Foregrounding this political dimension necessitates asking questions like “for whom,” “with whom,” and “to what ends” do people learn [49]? To really think through these questions, it is necessary to acknowledge that racism, heterosexism, sexism, genderism, ableism, settler-colonialism, and other systematic forms of discrimination not only exist, but that these systemic discriminations are highly consequential for learners' educative experiences and their lives [18]. Indeed, these historical inequities have compounded in a way that Ladson-Billings [31] argues creates an *educational debt* that is owed to minoritized—and specifically in the United States, Black and Indigenous—people. Equity-focused learning scientists have also highlighted that heterogeneity in people and ideas is fundamental to learning [58] and productively expands the long-term projects of research disciplines like science [38]. Importantly, centering the political reminds us that the societal purposes of education and learning cannot be disregarded in research and design. Some argue that learning and education are most powerful when they center on the critical analysis and positive transformation of social circumstances [14, 23, 68]. Indeed, this learning must center the fundamental dignity of humans [17, 19] and more-than-humans [2, 67].

Together, these sociocultural and sociopolitical attunements in learning theory and design research build on the sociocultural shift of focus from individual learning experiences (such as how a person's race affects their learning) to the design of learning environments (such as how an environment might enact, reify, or combat racism). They offer the potential to make or keep research relevant to everyday educational practice and to life improvement. They also advance learning theory by building our un-

derstanding of factors that affect where, when, and how people learn that have historically been understudied in the learning sciences, learning analytics, and educational psychology communities. Uttamchandani [64] summarized these trends as comprising four equity pathways: (1) Consider the goals of an equity-oriented framework for learning; (2) Theoretically draw on existing critical social theory; (3) Methodologically, focus on collaborative change-making, and; (4) Support heterogeneity in knowing and doing (i.e., in design). In these ways, we see equity and learning as having productive orientations to the historical, cultural, and political that can be more explicitly brought to bear in learning analytics research. Clearly, culture cannot be reduced to one (or, arguably, even many) algorithmic variable(s) in studying its relevance for learners. However, there is still great promise for how equity, politics, culture, and cultural responsiveness can be meaningfully taken up at the intersection of these perspectives and existing learning analytics traditions.

## CONNECTING THE DOTS: FUTURE DIRECTIONS FOR EQUITABLE LEARNING ANALYTICS

Looking across fairness and absence of bias (predominant views in learning analytics) and educational equity and justice (emerging views in the learning sciences), we conclude by exploring how the learning analytics community might take up these views to avoid furthering social inequality and instead offer powerful and scalable new ways to contribute to educational justice. We assert that it is impossible to discuss fairness, absence of bias, or equity in any meaningful way without discussing that which makes things unfair, biased, or inequitable: systemic racism, heterosexism, sexism, genderism, ableism, nationalism, classism, religious discrimination, settler-colonialism, and other dehumanizations that have been built into our day-to-day lives through legislation, politics, and broadly accepted but problematic social norms. Insofar as learning analytics work offers new ways to conceptualize systems of learning, it must be cautious that these new learning systems do not absorb these surrounding oppressions, but rather actively combat them. At first glance, it may appear that fairness and absence of bias in learning analytics is quite unlike politicized approaches to the learning sciences. However, we argue that there is immense potential at the intersection of these two communities. Given its scope and potential to scale, learning analytics can positively contribute to brighter social futures. For example, equity analytics [53] can be used to better understand students' participation and thus lead to the identification of structures that produce inequitable experiences and outcomes—and new designs to combat such structures. To conclude this chapter, we offer some considerations we think are worth exploring at this intersection.

Firstly, we argue that algorithmic fairness and absence of bias are an incomplete subset of equity orientations to learning analytics. While we agree that, at minimum,

algorithms should be fair and unbiased, we also point to the fact that the “equity computation” being done in learning analytics must be sociohistorically situated. In other words, one cannot compute their way to a more equitable society, and it is incumbent on learning analytics researchers to conceptualize the fairness of their designs in terms of their ramifications for larger oppressive or emancipatory systems. This entails a highly critical perspective on “harmful data regimes” [11] and technology’s promises to revolutionize education [69], especially when these promises are made in the absence of serious considerations of social justice (see Cifor et al.’s “Feminist Data Manifest-no” for more on what is entailed in ethical relationships with information and data [11]).

Secondly, equitable learning analytics require detailed attention to the circumstances in which a tool has been developed and is deployed. In this regard, there exist several relevant traditions such as human-centered design and participatory design, in which a diverse array of perspectives from those who may ultimately use a tool are foregrounded in the design of that tool and its contexts of use (see [15] for a helpful discussion of these and related terms). As Buckingham Shum et al. [7] indicated, more participatory strategies in the design of learning analytics can lead to greater insight in representing and interpreting learning through learning analytics. Such design processes also bring attention to the perspectives of different stakeholders and their circumstances. We contend these perspectives will also provide insight in fairness and bias in learning analytics. Further, these situated perspectives necessarily impact the tool and its capacity to be used in different circumstances over time and in different environments. Recognition of these constraints and their amelioration and emergence within an educational environment is therefore a necessary challenge in scaling the function of an equitable learning analytics tool. Equity, fairness, and absence of bias of learning analytics therefore represents an ongoing design process that require continual (re)evaluation.

Building on this, we argue that to effectively incorporate issues of equity, a more participatory approach to design and analysis is necessary [1]. Vakil, McKinney de Royston, Nasir, & Kirshner [66] argued that equitable learning research and design centering race and power is advanced when participants and researchers share *politicized trust*, trust that “requires not only a personal working relationship but also a political or racial solidarity” (p. 200). Designing effectively in this participatory way will require increasing methodological heterogeneity (see [29]). In particular, introducing rich qualitative analyses, such as qualitative language-based methodologies, into learning analytics work can add important contour to the larger studies of how people experience the environments being researched and designed through learning analytics (e.g., [47, 65]). Qualitative data and analysis may be helpful both for building into tools and for critically examining how they are used in situ. As Wise and Cui pointed out, at minimum, “Representative examples from the underlying data should be presented to help draw connections between the learning events as they occurred and their

computational representations” [70, p. 1806]. Fine qualitative attunement to such examples can be a useful tool for helping learning analytics attend to political issues in learning. In particular, we would advocate for more inclusion of learner participation in the design and evaluation of the fairness and efficacy of a learning analytics tool throughout and even after the design process. Learner participation can lead to broader representations of learning and have, largely, been an excluded voice in learning analytics research and practice [8].

In sum, we see several ways in which learning analytics researchers can attune to educational justice meaningfully:

- Take a critical perspective to learning analytics. Such a perspective does not assume that learning analytics can solve every educational equity problem, but rather asks “Does learning analytics have a role to play in addressing this problem, and if so, how?”
- Remember that educational data often represents the real, lived experiences of people. Learning analytics must always foreground the well-being of the learners involved.
- In general, aim for fairness and limited bias in the design of algorithms.
- Recognize that learning analytics interventions are part of educational systems, so a foundational question for researchers and practitioners is how these interventions reinforce or challenge the oppression of minoritized groups in the context of those systems. In other words, “less biased” designs are not the same as “neutral” designs since learning analytics interventions always take a position as supporting or opposing particular ways of participating in educational systems.
- Involve a range of diverse perspectives throughout the design, implementation, and evaluation of learning analytics research and practice.
- As discussed in the introduction, other chapters in this volume examine policy, ethics, and scale as they relate to learning analytics. In addition to the above recommendations, each of these areas (and their intersections) are also places where learning analytics researchers and practitioners can contribute to educational equity. Further, taking an equity lens by critically examining how policy, ethics, and scale can work towards the goal of educational justice is foundational to ensuring that scholarship in these areas has a positive impact for a wide variety of learners.

Finally, we argue that equity must be positioned as a central concern in learning analytics. This will come with new challenges and require the development of new tools. However, centering equity will help ensure that learning analytics fulfills the promise of improving education, rather than making the existing inequitable structures of education function more efficiently. As the learning analytics field continues to evolve, we hope to see more empirical work with an explicit equity orientation be advanced.

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